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# Time Course of Treatment Dropout in Cognitive–Behavioral Therapies for Posttraumatic Stress Disorder

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A substantial minority of people drop out of cognitive–behavioral therapies (CBTs) for posttraumatic stress disorder (PTSD). There has been considerable research investigating who drops out of PTSD treatment; however, the question of when dropout occurs has received far less attention. The purpose of the current study was to examine when individuals drop out of CBT for PTSD. Women participants ( $N = 321$ ) were randomized to 1 of several PTSD treatment conditions. The conditions included prolonged exposure (PE), cognitive processing therapy (CPT), CPT—cognitive only (CPT–C), and written accounts (WA). Survival analysis was used to examine temporal pattern of treatment dropout. Thirty-nine percent of participants dropped out of treatment, and those who dropped out tended to do so by midtreatment. Moreover, the pattern of treatment dropout was consistent across CBT conditions. Additional research is needed to examine if treatment dropout patterns are consistent across treatment modalities and settings.

*Keywords:* treatment dropout, posttraumatic stress disorder, cognitive–behavioral therapy

Significant advances in the treatment of posttraumatic stress disorder (PTSD) have been made over the past 30 years. Several evidence-based treatments for PTSD have been identified (e.g., Institute of Medicine, 2007; VA/DoD Management of Post-Traumatic Stress Working Group, 2004), which include prolonged exposure (PE) and cognitive processing therapy (CPT). Despite the effectiveness of these treatments, a substantial minority of indi-

viduals drop out of PTSD treatment (e.g., Hembree et al., 2003; Imel, Laska, Jakupcak, & Simpson, 2013). Even within the Department of Veterans Affairs (VA), the largest PTSD treatment provider, only one quarter of newly diagnosed veterans who initiate psychotherapy for PTSD complete eight or more sessions (Spoont, Murdoch, Hodges, & Nugent, 2010). PTSD treatment dropout is also a significant problem among military service personnel returning from combat missions (Hoge et al., 2014). Hoge and colleagues (2014) describe the PTSD treatment dropout problem as a “call to action” (p. 1002) to improve treatment engagement and retention.

Treatment dropout is a concern for all psychiatric conditions, but it is a particular problem for PTSD. Although the average dropout rate for PTSD treatment is similar to that for anxiety and depression disorders (e.g., Aderka et al., 2011; Hans & Hiller, 2013; Hofmann & Suvak, 2006; Keijsers, Kampman, & Hoogduin, 2001), the average rate is misleading because there is significant variability of dropout rates across studies (Imel et al., 2013; Swift & Greenberg, 2012). Swift and Greenberg (2012) observed a significant difference in the treatment dropout rate as a function of the treatment approach only for PTSD and depression. The significant variability in dropout rates between treatment approaches was not observed for 10 other psychiatric disorders. Moreover, a recent meta-analysis of PTSD treatment dropout conducted by Imel et al. (2013) indicated that trauma-focused treatment, such as PE and CPT, had substantially higher dropout rates (i.e., 36%) relative to

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nontrauma-focused treatments (i.e., present-centered treatment, 18%). Thus, treatment dropout rates in evidence-based treatments for PTSD are notably higher than dropout rates observed for other treatment approaches as well as other psychiatric conditions. What is not known is why dropout rates are elevated in cognitive-behavioral treatment (CBT) for PTSD. A number of studies have examined who drops out of treatment, although inconsistent findings have emerged. Some studies have found that younger age (Cloitre, Chase Stovall-McClough, Miranda, & Chemtob, 2004), lower intelligence, less education (Rizvi, Vogt, & Resick, 2009), higher levels of anger at baseline (Foa, Riggs, Massie, & Yarczower, 1995), and a trauma event occurring in the past year (Iverson et al., 2011) are risk factors for treatment dropout. Findings from other studies have not supported these risk factors (e.g., van Minnen, Arntz, & Keijsers, 2002).

To understand why people drop out of CBT for PTSD, we must first know when the dropouts occur. Different factors may influence why people drop out early versus late in the course of treatment. For instance, individuals may drop out late in the course of treatment because they have achieved clinically significant improvement and consequently do not feel the need to complete additional treatment sessions. This possibility was underscored by the findings of van Minnen and Foa (2006), who found that participants receiving the 12-session PE protocol needed an average of 6.8 sessions in order to achieve a 50% reduction in PTSD symptoms from their pretreatment PTSD symptom score. Alternatively, avoidance behavior may account for individuals dropping out early in the course of CBT for PTSD given the focus on confronting the trauma memory in these treatments. Better understanding the time course of PTSD treatment dropout is an important step in improving treatment engagement and retention rates.

The primary goal of the current study is to investigate when individuals drop out of CBT for PTSD. Treatment dropout is defined in psychotherapy research studies as dropping out after a participant has been randomized to a condition (Schnurr, 2007). This definition of treatment dropout provides the most unbiased estimate of a treatment's benefit. However, other definitions have been used, such as attending a certain number of sessions for a given treatment protocol (e.g., 75%) and dropping out of treatment after the first session (Foa et al., 2005; Resick et al., 2008; Resick, Nishith, Weaver, Astin, & Feuer, 2002). Although there can be advantages to using different definitions, in this study we use the recommended definition (Schnurr, 2007) in order to best capture PTSD treatment dropouts.

To investigate CBT for PTSD dropout, we used two large randomized controlled trials conducted by Resick and colleagues (2002, 2008). In the first study, participants were randomized to CPT, PE, or a minimal attention control condition (MA). In the second study, participants were assigned to CPT; a CPT—cognitive only condition (CPT-C); or a written accounts (WA) condition, which is a component of CPT that involves writing about the trauma account, reading it to the therapist, and reading it to oneself daily.<sup>1</sup> Based on the limited information available on timing of treatment dropout (Wang et al., 2005), we expected that most participants who drop out of treatment would do so early in the course of treatment. We also anticipated that the time course of treatment dropout would be consistent across treatment conditions

given that all treatments studied were a cognitive-behavioral trauma-focused approach.

## Method

### Participants

Participants were 321 women who met study eligibility criteria for one of two PTSD randomized controlled trials (see Resick et al., 2002, 2008) and were part of the intention-to-treat (ITT) sample. Inclusion criteria for the treatment studies included being at least 18 years of age, being female, and having a diagnosis of PTSD related to an interpersonal violence event. Exclusion criteria included current psychosis, current suicidal intent, current substance use dependence diagnosis, illiteracy, a current abusive relationship, or a dangerous situation such as being stalked. Participants were recruited from the St. Louis, Missouri, area. The combined sample had a mean age of 33.60 ( $SD = 11.28$ ), a mean of 14.10 ( $SD = 2.57$ ) years of education, and was diverse in terms of racial background (67% Caucasian, 29% African American, and 4% of other racial backgrounds). The mean baseline PTSD symptom severity, as measured by the Clinician-Administered PTSD Scale (CAPS; Blake et al., 1995), for women who completed treatment (72.76,  $SD = 18.75$ ) and for women who did not complete treatment (73.00,  $SD = 19.31$ ) did not significantly differ. The average time since the index trauma event occurred was 135.06 months. There were no significant differences between participants in the two studies in terms of demographic characteristics between treatment completers and noncompleters, with treatment completion defined as attending every session of the treatment condition for which they were assigned. Additionally, there were no significant differences between women who presented to the first treatment session and those who did not.

### Measures

**Clinician-Administered PTSD Scale for the *Diagnostic and Statistical Manual of Mental Disorders* (4th ed.; DSM-IV; American Psychiatric Association, 1994; Blake et al., 1995).** The CAPS is a gold-standard 17-item clinician-administered measure for PTSD that corresponds with the PTSD diagnostic criteria outlined in the *DSM-IV* (American Psychiatric Association, 1994). It has excellent psychometric properties (Blake et al., 1995; Weathers, Keane, & Davidson, 2001) and produces a diagnosis and a total severity score, composed of both symptom frequency and intensity scores, which are separately rated on 0 (*low*) to 4 (*high*) scales. The CAPS was used in the current study to assess study eligibility and to provide a baseline measure of PTSD symptom severity.

**Standardized trauma interview (Resick, Jordan, Girelli, Hutter, & Marhoefer-Dvorak, 1988).** The standardized trauma interview is a clinician-administered measure that covers domains such as demographic information, information about the trauma,

<sup>1</sup> Although the treatment dropout rate was reported in the primary papers (2002, 2008), the time course of treatment dropout was not reported. Moreover, individuals who dropped out after randomization but before the first treatment session were not included in the primary papers (2002, 2008).

trauma history, social support, and treatment history. For this study, we used this interview to investigate participant characteristics of demographic information and information regarding time since the index trauma (in months).

### Procedure

Both studies were conducted in accordance with approved procedures from the Institutional Review Board of the University of Missouri–St. Louis. Participants were recruited and participated in a brief telephone screen prior to being scheduled for an assessment. Written informed consent was obtained before starting the initial assessment interview. Following completion of the baseline assessment session, eligible participants were randomly assigned to one of the treatment conditions included in the respective study. As previously noted, consistent with the ITT approach (Schnurr, 2007), treatment dropout in this study was defined as dropping out after randomizing, which includes participants who dropped out prior to the first treatment session as well as participants who dropped out after the start of treatment. Substantial efforts were made to retain all randomized participants. If a participant did not attend a session, the therapist would call them at various times during the day and evening until they were reached. If a participant did not respond to telephone calls, a letter was sent to inquire about a desire to continue treatment and request that the participant contact their therapist. When phones were disconnected, a letter was immediately sent, and the therapist or research assistant would wait no longer than 2 weeks before attempting to recontact the participant by phone.

In the Resick and colleagues (2002) study, women were first randomly assigned to PE, CPT, or minimal attention (MA). CPT and PE were both conducted twice weekly for 6 weeks for a total of 13 hr of treatment. PE included a total of nine sessions, with the first session lasting 60 min and the remaining eight

sessions requiring 90 min per session. CPT consisted of 12 sessions with each session requiring 60 min, except for Sessions 4 and 5, which were 90 min in duration. Participants assigned to the MA condition were informed that treatment would be provided after a 6-week waiting period. A clinician contacted the MA participants every 2 weeks to ensure that no emergency services were needed. The MA participants were then randomly assigned to either PE or CPT following the waiting period. These participants are included in the current study within the PE and CPT conditions.

The Resick et al. (2008) study was a dismantling study of CPT. That is, the full protocol of CPT was compared with its components, CPT–C or WA. Treatment consisted of a total of 12 hr of treatment for each condition. CPT and CPT–C were 12 twice-weekly 60-min sessions. WA consisted of two 60-min sessions in the first week, followed by 2-hr weekly sessions for the remaining five sessions.

### Data Analysis Plan

We used discrete time survival analysis as described by Singer and Willett (2003) to examine temporal patterns of dropout. All analyses were conducted using SPSS, Version 22. For all analyses, randomization to treatment condition at the baseline assessment was used as the beginning of time, and treatment session was used as the metric of time. Life tables were first constructed to quantify the number of individuals who dropped out of treatment at each treatment session, as well as the corresponding hazard and survival proportions at each treatment session. Life tables were constructed for each of the four treatment conditions (PE, CPT, CPT–C, and WA) and for the entire sample collapsed across treatment conditions. Because reasons for dropping out before the first treatment session may differ from reasons for dropping out during treatment, chi-square tests were used to evaluate whether there were

Table 1

*Life Table Indicating the Number of Individuals Remaining in Treatment, the Number of Individuals Dropping Out of Treatment, the Number of Individuals Completing Treatment, Hazard Rates, Survival Rates, and Cumulative Survival Rates Across Four Treatment Conditions*

Time	Interval	No. in treatment	No. who dropped out	No. who completed treatment	Proportion who dropped out (hazard)	Proportion remaining in treatment (survival)	Cumulative proportion remaining in treatment
0	Randomization	321	—	—	—	1.00	1.00
1	Pre-S1	321	50	0	.16	.84	.84
2	S1–S2	271	17	0	.06	.94	.79
3	S2–S3	254	14	0	.06	.94	.75
4	S3–S4	240	9	0	.04	.96	.72
5	S4–S5	231	14	0	.06	.94	.68
6	S5–S6	217	6	0	.03	.97	.66
7	S6–S7	211	4	0	.02	.98	.64
8	S7–S8	207	5	30	.02	.98	.63
9	S8–S9	172	1	0	.01	.99	.63
10	S9–S10	171	1	55	.01	.99	.62
11	S10–S11	115	3	0	.03	.97	.61
12	S11–S12	112	2	110	.02	.98	.59

*Note.* Thirty individuals completed a full course (seven sessions) of the written accounts (WA) treatment protocol, 55 individuals completed a full course (nine sessions) of the prolonged exposure (PE) treatment protocol, 29 individuals completed a full course (12 sessions) of the cognitive processing therapy—cognitive only (CPT–C) treatment protocol, and 81 individuals completed a full course (12 sessions) of the cognitive processing therapy (CPT)/CPT–C treatment protocol.

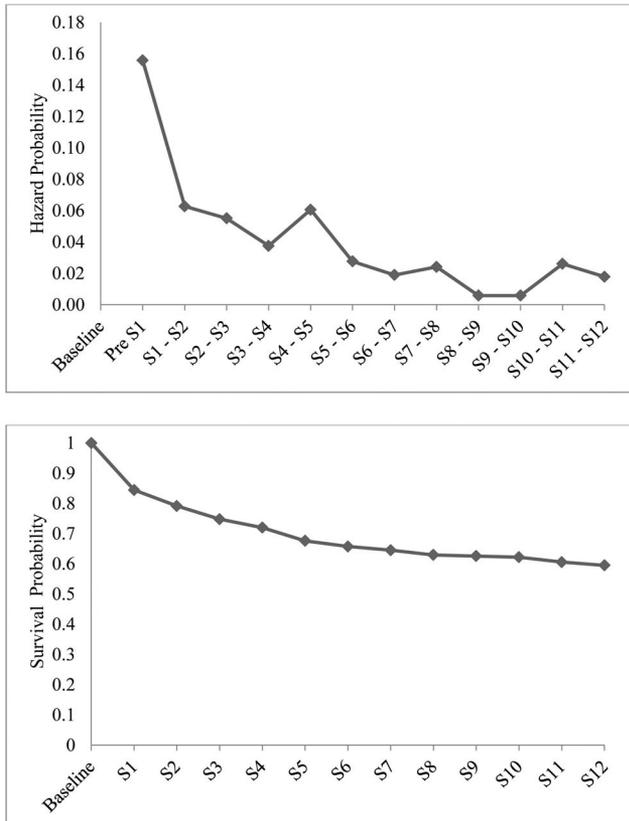


Figure 1. Top graph: Hazard function indicating proportion of individuals who dropped out from treatment at different treatment intervals. Bottom graph: Survival function indicating the cumulative proportion of individuals who remained in treatment at different sessions. Both graphs include individuals from all four treatment conditions examined (CPT, CPT-C, PE, and WA). CPT = cognitive processing therapy; CPT-C = cognitive processing therapy—cognitive only; PE = prolonged exposure; WA = written accounts.

differences among the four treatment conditions in the proportion of participants who presented for the first treatment session or the proportion of participants who completed a full course of treatment.

## Results

### Dropout Across Treatment Conditions

We began by constructing a life table to describe when individuals dropped out of treatment by collapsing across the four treatment conditions. Table 1 provides results at each session for the number of participants who dropped out, the hazard proportion, the survival proportion, the cumulative survival proportion, and the number of individuals who completed the full course of treatment. Plots of the hazard and survival proportions across time are presented in Figure 1. Results indicate that, across treatment conditions, the majority of participants (61%) completed the full course of treatment. Notably, the greatest risk of attrition across treatment conditions was

prior to attending the first treatment session, with 16% of participants dropping out prior to the first treatment session. As expected, the majority of participants (83%) who dropped out did so within the first half of treatment (e.g., by Session 5). However, there was no clear time point during which dropouts were most likely to occur after presenting for the first treatment session.

### Dropout Within Treatment Conditions

A second life table was constructed to examine if patterns of dropout were different for the four treatment conditions (see Table 2). Results were consistent across treatment conditions. The median-modal survival times for the treatment conditions were 12 sessions (CPT and CPT-C), nine sessions (PE), and seven sessions (WA). These results indicate that the majority of participants in each of the four treatment conditions completed a full course of treatment, with the proportion of treatment completers varying from 60% in WA to 63% in PE. The greatest risk of dropout was prior to the first treatment session for all four treatment conditions, with 14–17% of individuals failing to return for their first treatment session. In addition, the majority of participants who dropped out did so within the first half of the treatment course. Importantly, findings revealed that there were no significant differences between the four treatment conditions in whether individuals returned for their first treatment session,  $\chi^2(df = 3) = .39, p = .94$ , or whether individuals completed a full course of treatment,  $\chi^2(df = 3) = .22, p = .97$ . Taken together, these findings suggest that approximately one third of individuals randomized to treatment drop out before completing the course of treatment. Importantly, the majority of dropouts occur in the first half of treatment, and a substantial proportion of individuals dropped out prior to the first treatment session across all treatment conditions.

## Discussion

Findings from this study indicate that the majority of women who dropped out of treatment did so within the first half of the course of treatment. Notably, a large proportion of individuals (16%) dropped out prior to the first treatment session and before receiving any treatment. This pattern of treatment attrition is consistent with the rate reported for trauma-focused treatments (e.g., Imel et al., 2013). It is also consistent with the observation that veterans and active duty military personnel seeking PTSD treatment drop out of treatment prior to receiving an adequate course of treatment (i.e., at least six sessions; Hoge et al., 2014; Wang et al., 2005).

The observation of a substantial percentage of individuals dropping out of treatment before the first treatment session is important, as different factors may underlie not starting a treatment relative to starting treatment and then dropping out. This pattern is similar to routine care settings that have an initial intake assessment before assigning a treatment provider and underscores the importance of investigating not only dropouts that occur after treatment has started but also dropouts that occur between an initial intake appointment and the start of treatment. If the initial intake appointment were included in studies examining dropouts, the rates of dropout may be substantially greater than what has been reported.

Table 2

*Life Table Indicating the Number of Individuals Remaining in Treatment, the Number of Individuals Dropping Out of Treatment, Hazard Rates, Survival Rates, and Cumulative Survival Rates Within Each of the Four Treatment Conditions (CPT, CPT-C, PE, and WA)*

Treatment	Time	Interval	No. in treatment	No. who dropped out	Proportion who dropped out (hazard)	Proportion remaining in treatment (survival)	Cumulative proportion remaining in treatment
CPT	0	Baseline	136	—	—	1.00	1.00
	1	Pre-S1	136	20	0.15	0.85	0.85
	2	S1-S2	116	7	0.06	0.94	0.80
	3	S2-S3	109	4	0.04	0.96	0.77
	4	S3-S4	105	4	0.04	0.96	0.74
	5	S4-S5	101	7	0.07	0.93	0.69
	6	S5-S6	94	2	0.02	0.98	0.68
	7	S6-S7	92	3	0.03	0.97	0.65
	8	S7-S8	89	4	0.04	0.96	0.63
	9	S8-S9	85	1	0.01	0.99	0.62
	10	S9-S10	84	1	0.01	0.99	0.61
	11	S10-S11	83	1	0.01	0.99	0.60
12	S11-S12	82	1	0.01	0.99	0.60	
CPT-C	0	Baseline	47	—	—	1.00	1.00
	1	Pre-S1	47	8	0.17	0.83	0.83
	2	S1-S2	39	4	0.10	0.90	0.74
	3	S2-S3	35	1	0.03	0.97	0.72
	4	S3-S4	34	1	0.03	0.97	0.70
	5	S4-S5	33	0	0.00	1.00	0.70
	6	S5-S6	33	1	0.03	0.97	0.68
	7	S6-S7	32	0	0.00	1.00	0.68
	8	S7-S8	32	0	0.00	1.00	0.68
	9	S8-S9	32	0	0.00	1.00	0.68
	10	S9-S10	32	0	0.00	1.00	0.68
	11	S10-S11	32	2	0.06	0.94	0.64
12	S11-S12	30	1	0.03	0.97	0.62	
PE	0	Baseline	88	—	—	1.00	1.00
	1	Pre-S1	88	15	0.17	0.83	0.83
	2	S1-S2	73	3	0.04	0.96	0.80
	3	S2-S3	70	5	0.07	0.93	0.74
	4	S3-S4	65	3	0.05	0.95	0.70
	5	S4-S5	62	4	0.06	0.94	0.66
	6	S5-S6	58	1	0.02	0.98	0.65
	7	S6-S7	57	1	0.02	0.98	0.64
	8	S7-S8	56	1	0.02	0.98	0.63
	9	S8-S9	55	0	0.00	1.00	0.63
WA	0	Baseline	50	—	—	1.00	1.00
	1	Pre-S1	50	7	0.14	0.86	0.86
	2	S1-S2	43	3	0.07	0.93	0.80
	3	S2-S3	40	4	0.10	0.90	0.72
	4	S3-S4	36	1	0.03	0.97	0.70
	5	S4-S5	35	3	0.09	0.91	0.64
	6	S5-S6	32	2	0.06	0.94	0.60
	7	S6-S7	30	0	0.00	1.00	0.60

*Note.* CPT = cognitive processing therapy; CPT-C = cognitive processing therapy—cognitive only; PE = prolonged exposure; WA = written accounts.

Although most people who dropped out did so within the first half of the treatment course (by Session 5), a smaller percentage of participants dropped out in the second half of treatment. It is possible that these individuals dropped out of treatment because they had a clinically significant reduction in PTSD symptoms and did not feel the need to attend additional sessions. Ideally, we would have tested this possibility by examining self-reported PTSD symptom severity completed during the treatment sessions. However, both studies had participants complete the PTSD measure at every other treatment session, starting with the second treatment session. Consequently, we were not able to examine PTSD symptom severity for participants who

dropped out of treatment prior to the second session, and for many of the other participants we did not have self-report data from the session immediately prior to dropout.

Overall, it is important for future studies to investigate reasons for dropout, including dropout that occurs between the initial intake or assessment appointment and the first treatment session. There are likely to be multiple factors that influence dropout, including time availability given other life demands (e.g., work, child care), transportation difficulties, and stigma associated with receiving mental health care services, as well as initial reactions to the clinic setting and providers. It is also important to acknowledge that treatment dropout rates may be

lower in psychotherapy studies given the resources available in these studies to track and retain participants. Such resources are not typical in clinical care settings.

There are a number of strengths of the current study, including examination of different trauma-focused treatment conditions, a large sample of participants, and diagnostic interviews at the initial assessment session. There are limitations of the study that are important to consider. First, only women with PTSD related to interpersonal trauma were included, so the findings may not generalize to other samples. In addition, the findings may not generalize to clinical practice because the motivation to present to a treatment study may differ from what is observed in clinical practice. Additionally, as previously described, assessments collected at every other treatment session prevented the analysis of end-state functioning at the time of dropout. Lastly, due to insufficient power, we were not able to investigate differences in individual characteristics between individuals who dropped out before treatment, those who dropped out by midtreatment, and those who completed treatment.

The findings of this study provide important information about the time course of dropout from trauma-focused treatment. It will be important to examine whether similar patterns of treatment dropout are observed with different trauma samples and samples of men, as well as other treatment approaches for PTSD, such as present-centered therapy. It will also be important to examine whether patterns for treatment dropout differ in clinical practice. Gaining a better understanding of when people drop out of PTSD treatment, through the use of qualitative and quantitative measures, is critical to improving PTSD treatment engagement and retention.

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